

PRACTICE AREAS

- Class Actions & Multi-District Litigation
- ERISA & Benefits
- Litigation
- Securities, Corporate Governance & Sarbanes-Oxley
- White Collar, Regulatory Defense, and Investigations

EDUCATION

- B.A., University of North Carolina at Chapel Hill, 1991
- J.D., Temple University, 2002, magna cum laude; Law Review

BAR & COURT ADMISSIONS

- North Carolina, 2002
- All U.S. District Courts in North Carolina
- U.S. Court of Appeals - Eighth Circuit
- U.S. Court of Appeals - Ninth Circuit

MARK A. NEBRIG



MEMBER

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CHARLOTTE

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With extensive experience in managing complex business litigation – whether in federal and state courts or arbitration – Mark Nebrig strives to provide his corporate clients comprehensive legal advice to maximize short and long-term economic advantage. Though he maintains a general business litigation practice to serve all of his clients' needs, Mr. Nebrig has concentrations in securities litigation, ERISA class actions, shareholder disputes, and SEC/FINRA investigations.

A former licensed broker, Mr. Nebrig represents various broker-dealers in customer disputes before FINRA and advises securities industry entities involved in regulatory investigations, inquiries, and litigation. He advises public companies in their communications and responses to the SEC. Mr. Nebrig also has assisted various clients affected by massive hedge fund scams.

His practice also focuses on ERISA litigation, where he represents companies in individual and class action healthcare and ESOP benefit and trustee disputes. Notably, Mr. Nebrig has represented business clients in union disputes involving the legacy costs of union retiree health and welfare benefits.

Services Mr. Nebrig regularly provides to his clients:

- Complex business litigation
- Securities litigation and arbitration
- Corporate investigations (both internal and regulatory)
- ERISA/ESOP litigation

CONTINUED

MARK A. NEBRIG

- Class action litigation
- Shareholder litigation (both public and closely held)

Representative Cases

Tong, et al. v. Engineous Software, Inc. et al., NC Business Court case no. 11-CVS-001522

Martin v. Banc of America Securities, FINRA case no. 08-00319 (2009).

Strong v. Gateway Homes, case no. 06-CVS-7672 (2007).

Griffin v. Morgan Stanley, et al., NASD case no. 05-00387 (2006).

Rexam Inc. v. United Steel Workers of America, 2006 WL 2530304 (D. Minn. August 31, 2006).

Lockhart v. Wachovia Securities, Morgan Stanley, et al., NASD case no. 03-08862 (2005).

Hagie v. Morgan Stanley, case no. 03-CVS-01233 (2005).

OF NOTE

- Selected for inclusion to the 2011 and 2012 North Carolina Rising Star list, which is included in the *North Carolina Super Lawyers* magazine.
- Selected as a 2010 Emerging Legal Leaders finalist, which is included in *North Carolina Lawyers Weekly*.
- Former NASD-licensed broker
- Coordinator of MVA/ Legal Aid of North Carolina landlord/tenant pro bono partnership
- Member and Sub-Committee Chair of Recruiting Committee
- Selected as Legal Aid of North Carolina's 2010 Pro Bono Attorney of the Year.

CONTINUED

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PROFESSIONAL AFFILIATIONS

- North Carolina Bar Association