

## PRACTICE AREAS

- Capital Markets & Securities Regulation
- Corporate
- Corporate Governance
- Mergers & Acquisitions

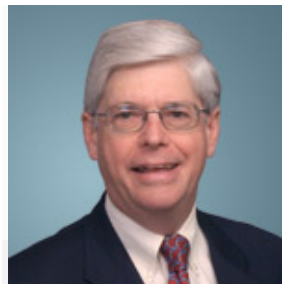
## EDUCATION

- B.A., Vassar College, 1974
- J.D., University of North Carolina at Chapel Hill, 1978, with honors; Board of Editors, North Carolina Law Review

## BAR & COURT ADMISSIONS

- North Carolina, 1978
- New York, 1980

## DUMONT CLARKE, IV



### MEMBER

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### CHARLOTTE

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Dumont Clarke provides sophisticated corporate governance and securities regulation counsel to his Fortune 500 clients and other corporations across wide-ranging industries, advising the directors and management at Coca-Cola Bottling Co. Consolidated, Lowe's Companies Inc., Nucor Corporation and other public companies.

Additionally, he has extensive experience in corporate and public finance gained from representing issuers, underwriters and purchasers, and has represented numerous companies conducting public and private securities offerings. His practice also frequently involves counseling on securities regulation issues arising in merger and acquisition transactions.

A former university professor of business law, Mr. Clarke continues to serve as a presenter and panelist for legal continuing-education seminars.

### Representative Client Services

- Proxy statements
- SEC periodic and current reporting
- Registration statements
- Sarbanes-Oxley and Dodd-Frank compliance issues
- NYSE and NASDAQ corporate governance compliance
- Insider Trading Compliance Programs
- IPOs and secondary offerings

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## **DUMONT CLARKE, IV**

### OF NOTE

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- North Carolina Bar Association Citizen Lawyer Award Recipient, 2012
- Included in *Best Lawyers in America* for Securities/Capital Markets Law, 2012
- Member, Mecklenburg County Board of Commissioners, since 2000
- Member, Board of Directors of North Carolina Arboretum
- Former Chairman of the Board of Delegates of the Centralina Council of Governments, the State-designated lead regional organization for the eight-county metropolitan area surrounding Charlotte
- Served from 2007-2011 on the State Judicial Council under the direction of the Chief Justice of the North Carolina Supreme Court, studying North Carolina's judicial system operations and making recommendations to the General Assembly on:
  - budget preparation
  - funding priorities
  - judicial officials' benefits and compensation
  - creation of new judgeships
- Director, St. Peters Hospital Foundation, Inc.
- Former Assistant Professor of Business Law, Belk College of Business, University of North Carolina at Charlotte
- Law Clerk to Hon. Walter E. Brock, North Carolina, Supreme Court, 1978-1979

### PROFESSIONAL AFFILIATIONS

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- American Bar Association
- North Carolina Bar Association: Business Section