

EDWARD P. O'KEEFE

Co-head of Financial Regulatory Advice & Response

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Ed O'Keefe advises and represents financial institutions. From strategy to tactics and logistics, Ed's experience includes investigations, litigation, regulatory compliance, governance, cybersecurity, compensation, and risk management. He also advises on law department management, including effective legal engagement with regulators and control functions.

Ed is the former Global General Counsel of Bank of America Corporation. Having also headed or served as a senior executive with Bank of America's compliance, technology, human resources, and operations functions, Ed's broad experience includes all aspects of investigations, litigation, regulatory compliance, governance, cybersecurity, compensation, and risk management. His regulatory compliance practice includes working with clients with respect to BSA/AML, anti-bribery/anti-corruption, resolution planning, stress testing, and responding to regulatory inquiries.

Managing significant and complex bank issues, Ed's experience ranges from supervisory issues to consent orders. He also understands bank operations, including emerging technologies such as artificial intelligence, on which he has addressed at international and national industry conferences. As the chair of the Clearing House Association, the industry association of the largest banks, Ed supported and approved the creation of the Real Time Payments system.

Ed represented financial institutions before the U.S. Congress and the U.K. financial regulatory authorities, global agencies and courts, as well as the Federal Reserve, the Department of Justice, the Securities and Exchange Commission, the Office of the Comptroller of the Currency, the Consumer Financial Protection Bureau, the Federal Deposit Insurance Corporation, the Equal Employment Opportunity Commission, and multiple state attorneys general.

Capabilities

Financial Regulatory Advice & Response

White Collar & Government Enforcement Defense

Data Security & Privacy

Bank Regulatory & Fintech

Compliance, Controls & Risk Management

Consumer Regulation

Environmental, Social & Corporate Governance

LIBOR

Litigation, Regulatory & White Collar

Regulatory Supervision & Response

Resolution Planning & Stress Testing

Education

B.A., University of Rhode Island, cum laude

J.D., Fordham University

EDWARD P. O'KEEFE

Ed is recognized for his legal and public service, including his service on the Thomson Reuters Legal Executive Advisory Board, Leadership Council on Legal Diversity, Charlotte Center for Legal Advocacy Center, Board Chair of the United Way of Central Carolinas, and President of Council for Children's Rights Board. Ed is a Life Fellow of the American Bar Foundation and also serves on the U.S. Global Leadership Coalition's North Carolina Advisory Committee, and has served on the Best Lawyers' Board of Advisors. He regularly speaks and publishes on bank regulatory issues, cybersecurity, and legal risk management. He is a co-author of the *Regulatory Investigative Chapter* in the treatise, *Successful Partnering Between Inside and Outside Counsel*, published by *Thomson Reuters* and the *Association of Corporate Counsel*.

Notable

- *Chambers USA-Nationwide*, Financial Services Regulation: Banking, 2019-2021
- *Best Lawyers in America*, Banking and Finance Law, 2021-2022
- Top Rated Lawyer by Martindale-Hubbell for 15 years
- Life Fellow of the American Bar Foundation
- *Law360* Innovative Law Department Award for the Bank of America Legal Department
- Thurgood Marshall College Fund Award of Excellence
- North Carolina *Super Lawyers*
- *Business North Carolina* Legal Elite
- American Corporate Counsel Association Pro Bono Award
- Association of Fundraising Professionals Outstanding Volunteer Fundraiser of the Year Award, 2015

Leadership

- Co-chair, Moore & Van Allen Public Service Committee
- Steering Committee, UNC Center for Banking and Finance's Board of Advisors, 2021
- Board Chair, United Way of Central Carolinas, 2015-2016
- Board President, Council for Children's Rights, 2014-2015
- Thomson Reuters Legal Executive Advisory Board
- Best Lawyers' Board of Advisors

School of Law

Admissions

North Carolina, 2008

New York, 1982

New Jersey, 1981

Other Areas of Law

Financial Services Regulation

EDWARD P. O'KEEFE

- Charlotte Center for Legal Advocacy Board
- UNC Center for Banking and Finance Board of Advisors
- Mecklenburg County Bar Diversity and Inclusion Committee Board of Advisors
- North Carolina Advisory Committee, US Global Leadership Coalition
- Chair, Vice-chair, The Clearing House Association, 2011-2012
- Steering Committee Co-chair, General Counsel Leadership Network, 2011-2015

Affiliations

- Life Fellow, American Bar Foundation
- American Bar Association
- North Carolina Bar Association
- New York State Bar Association
- New Jersey Bar Association
- Mecklenburg County Bar Association
- Best Lawyers' Board of Advisors

Representative Experience

- Advised a global bank on enterprise regulatory issues
- Advise Global Systemically Important Banks (G-SIBs) on their resolution plans required under Section 165d of the Dodd Frank Act
- Advised national banks on their submissions required under the Comprehensive Capital Analysis and Review
- Advised a major financial advisory firm on the applicable requirements of the Dodd Frank Act
- Advised a startup fintech enterprise on applicable bank regulatory obligations
- Advised multiple financial institutions on their risk and compliance programs
- Advised global financial institutions on responding to cross-border regulatory inquiries
- Advised an international lender on complex securities backed loan restructure
- Advised a national mortgage lender on consumer compliance
- Advised a global bank on obligations under E.U. General Data Protection Regulation