

NEWS

McLoughlin Serves as Panelist at SIFMA Seminar

09.2012

Litigation Member Jim McLoughlin recently participated in a "Hot Topics in Securities Regulatory Enforcement" panel at SIFMA's Compliance and Legal Society's Charlotte Regional Seminar. The panel discussed SEC whistleblower program development, the impact of the Supreme Court's *Janus Capital* decision on SEC enforcement proceedings, aider and abettor liability after the Second Circuit's *Apuzzo* decision, among other subjects. The panel also featured representatives from FINRA and the U.S. Securities and Exchange Commission.