

## P. BARRETT MORRIS

*Associate, Financial Services*

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Barrett Morris brings his in-house legal experience to guide clients on OTC and exchange trades swaps, options, futures and other derivatives, and to provide guidance on bank regulatory and consumer finance matters.

Barrett leverages his experience working at Wall Street and other large US banks to help clients understand the practical front office, operational, and compliance requirements associated a broad array of regulatory requirements. In prior roles within banks, Barrett advised senior management, governance committees, business and operations groups, and risk and compliance groups on compliance with Title VII of the Dodd-Frank Act, Commodity Exchange Act, Investment Company Act, various regulations from the Consumer Financial Protection Bureau and prudential banking regulations promulgated by Federal Reserve and the Office of the Comptroller of the Currency.

### Notable

- North Carolina Pro Bono Honor Society, 2022
- Mecklenburg County Bar YLD Lawyer of the Year, 2020
- Board of Directors, Mecklenburg Bar Foundation, Class of 2025
- Member Board of Directors, Mecklenburg County Bar, 2017- 2020
- Chair, Mecklenburg County Bar, Young Lawyer Division, 2018 - 2019
- Volunteer Attorney, Council For Children's Rights, 2014 - present

### Capabilities

Bank Regulatory & Fintech  
Compliance, Controls & Risk Management  
Environmental, Social & Corporate Governance  
Financial Regulatory Advice & Response  
Swaps & Derivatives

### Education

J.D., Charlotte School of Law, 2012  
B.A., George Mason University, 2008

### Admissions

North Carolina, 2013  
United States District Court for the Western District of North Carolina