

JULES W. CARTER

Associate, Litigation

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Jules Carter helps clients navigate complex commercial litigation and financial regulatory compliance issues. She remains on the cutting-edge of legal issues to help clients remain up-to-date on compliance matters.

Jules focuses on helping institutional clients navigate complex regulatory environments and pursue business strategies that balance innovation with risk-awareness. Her financial regulatory experience includes representing financial institution clients in response to regulatory enforcement actions and addressing the supervisory concerns of state and federal banking authorities. Her experience is not limited to remediation efforts as she also assists bank clients deploying targeted marketing algorithms by reviewing model parameters and highlighting potential regulatory or reputational risks that could emerge. Jules advises on various legal issues for a range of financial services providers, including globally systemic, regional, and community banks, as well as fintech companies, money services businesses, and brokerage firms.

Jules is involved in significant efforts related to anti-money laundering (AML) and countering the financing of terrorism (CFT), staying up to date on significant policy developments in the AML/CFT compliance space. She has prepared training materials for compliance professionals as they reexamine their internal controls and undertake initiatives to update their compliance programs in accordance with AML/CFT legislation. In addition, she has reviewed subscription agreements for clients who, as registered broker-dealers, will also be expected to achieve compliance with AML/CFT policy changes.

Jules has represented clients involved in a range of commercial disputes in federal and state courts, including matters involving fraud, unfair and deceptive trade practices, and litigation arising out of commercial contracts and transactions.

Capabilities

Bank Regulatory & Fintech

Civil Litigation

Compliance, Controls & Risk Management

Consumer Regulation

Corporate Governance & Shareholder Relations

Financial Regulatory Advice & Response

Financial Services Litigation

LIBOR

Litigation, Regulatory & White Collar

Real Estate & Construction Disputes

Regulatory Supervision & Response

Resolution Planning & Stress Testing

Restrictive Covenants & Trade Secrets

Securities & Capital Markets Litigation

White Collar & Government Enforcement Defense

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Jules also closely monitors the ever-evolving role of artificial intelligence and machine learning as enabling capabilities for risk-based compliance in financial institutions.

Notable

- Chair, Moore & Van Allen's Black Attorney Resource Group
- Member, Association of Certified Anti-Money Laundering Specialists (ACAMS)
- Leading the MVA Pro Bono Policing Project
- North Carolina Pro Bono Honor Society, 2020-2021

Representative Experience

- Drafted a Customer Due Diligence, Sanctions Compliance and Export Controls Policy for a commodities exporter as part of its remediation efforts in response to sanctions compliance issues raised by the Department of Justice
- Prepared regulatory submissions for a G-SIFI bank, resolving regulatory matters (Consent Orders and Matters Requiring Attention) with the Federal Reserve, OCC and CFPB
- Prepared board governance playbooks for a bank holding company and each of its Material Entities as part of the holding company's annual resolution plan submission

Education

J.D., Duke University School of Law, 2019

B.A., University of North Carolina Chapel Hill, 2016 with honors

Admissions

North Carolina, 2019

Western District of North Carolina, 2019

North Carolina Privilege License, 2019